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INTERNATIONAL PRELIMINARY EXAMINATION REPORTWIPO

· PCT

## (PCT Article 36 and Rule 70)

| Applicant's or agent's file reference   | FOR FURTHER ACTION  | See Notification of Transmittal of International   |  |  |  |  |  |  |
|---|---|--|--|--|--|--|--|--|
| 1523 PCT  |   | Preliminary Examination Report (Form PCT/IPEA/416) |  |  |  |  |  |  |
| International application No.   | International filing date (day/mon  | nth/year) Priority date (day/month/year)           |  |  |  |  |  |  |
| PCT/US02/31097  | 27 September 2002 (27.09.2002)  | ) 16 April 2002 (16.04.2002)                       |  |  |  |  |  |  |
| International Patent Classification (IPC)   | International Patent Classification (IPC) or national classification and IPC                  |  |  |  |  |  |  |  |
| IPC(7): G03B 17/00,11/00 and US Cl.: 396/448, 544   |   |  |  |  |  |  |  |  |
| Applicant   |   |  |  |  |  |  |  |  |
| ZHANG, JAME J.  |   |  |  |  |  |  |  |  |
| This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.  |   |  |  |  |  |  |  |  |
| 2. This REPORT consists of  | 2. This REPORT consists of a total of 3 sheets, including this cover sheet.                   |  |  |  |  |  |  |  |
| This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT). |   |  |  |  |  |  |  |  |
| These annexes consist of a  | total of <u>sheets</u> .  |  |  |  |  |  |  |  |
| 3. This report contains indica  | ations relating to the following  | items:   |  |  |  |  |  |  |
| I 🔀 Basis of the rep  | I Basis of the report   |  |  |  |  |  |  |  |
| II Priority   |   |  |  |  |  |  |  |  |
| III Non-establishm  | ishment of report with regard to novelty, inventive step and industrial applicability         |  |  |  |  |  |  |  |
| IV Lack of unity of   | f invention   |  |  |  |  |  |  |  |
|   | V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial |  |  |  |  |  |  |  |
|   | applicability; citations and explanations supporting such statement                           |  |  |  |  |  |  |  |
|   | VI Certain documents cited  |  |  |  |  |  |  |  |
| VII Certain defects   | VII Certain defects in the international application  |  |  |  |  |  |  |  |
| VIII Certain observations on the international application  |   |  |  |  |  |  |  |  |
|   |   |  |  |  |  |  |  |  |
| Date of submission of the demand  | Date  | e of completion of this report                     |  |  |  |  |  |  |
| 28 July 2003 (28.07.2003)   |   | Tovember 2003 (03.11.2003)                         |  |  |  |  |  |  |
| Name and mailing address of the IPEA/   | US Autho  | norized officer                                    |  |  |  |  |  |  |
| Mail Stop PCT, Atm: IPEA/US<br>Commissioner for Patents   | Chri  | istopher E Mahoney Peggy Harrod                    |  |  |  |  |  |  |
| P.O. Box 1450<br>Alexandria, Virginia 22313-1450  |   | phone No. (703) 308-0956                           |  |  |  |  |  |  |
| Facsimile No. (703)305-3230  Form PCT/IPEA/409 (cover sheet)(July 1998)   |   |  |  |  |  |  |  |  |



| International application No. |  |
|-------------------------------|--|
| PCT/US02/31097                |  |

| I.  | Basi   | s of the report  |  |  |  |  |  |
|-----|--|--|--|--|--|--|--|
| 1.  | With   | regard to the elements of the international application:*  |  |  |  |  |  |
|     | $\boxtimes$  | the international application as originally filed.   |  |  |  |  |  |
|     | X  | the description:   |  |  |  |  |  |
|     |  | pages 1-6 as originally filed  |  |  |  |  |  |
|     |  | pages NONE , filed with the demand   |  |  |  |  |  |
|     | <del></del> 3  | pages NONE , filed with the letter of  |  |  |  |  |  |
|     | $\bowtie$  | the claims:  |  |  |  |  |  |
|     |  | pages 7 and 8 , as originally filed pages NONE , as amended (together with any statement) under Article 19   |  |  |  |  |  |
|     |  | pages NONE , as amended (together with any statement) under Article 13   |  |  |  |  |  |
|     |  | pages NONE, filed with the letter of   |  |  |  |  |  |
|     | $\square$  | the drawings:  |  |  |  |  |  |
|     |  | pages 1, as originally filed   |  |  |  |  |  |
|     |  | pages NONE , filed with the demand   |  |  |  |  |  |
|     |  | pages NONE , filed with the letter of  |  |  |  |  |  |
|     |  | the sequence listing part of the description:  |  |  |  |  |  |
|     |  | pages NONE, as originally filed  |  |  |  |  |  |
|     |  | pages NONE , filed with the demand   |  |  |  |  |  |
| _   | ****   | pages NONE , filed with the letter of  regard to the language, all the elements marked above were available or furnished to this Authority in the  |  |  |  |  |  |
| 2.  | lano   | regard to the language, an the elements marked above were available of furnished to this Additionly in the large in which the international application was filed, unless otherwise indicated under this item. |  |  |  |  |  |
|     | Thes   | e elements were available or furnished to this Authority in the following language which is:   |  |  |  |  |  |
|     | $\Box$   | the language of a translation furnished for the purposes of international search (under Rule23.1(b)).  |  |  |  |  |  |
|     | Ħ  | the language of publication of the international application (under Rule 48.3(b)).   |  |  |  |  |  |
|     | Ħ  | the language of the translation furnished for the purposes of international preliminary examination(under Rules  |  |  |  |  |  |
|     | لــا   | 55.2 and/or 55.3).   |  |  |  |  |  |
| 3.  | With   | regard to any nucleotide and/or amino acid sequence disclosed in the international application, the  |  |  |  |  |  |
|     | inter  | national preliminary examination was carried out on the basis of the sequence listing:   |  |  |  |  |  |
|     |  | contained in the international application in printed form.  |  |  |  |  |  |
|     |  | filed together with the international application in computer readable form.   |  |  |  |  |  |
|     |  | furnished subsequently to this Authority in written form.  |  |  |  |  |  |
|     |  | furnished subsequently to this Authority in computer readable form.  |  |  |  |  |  |
|     |  | The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the  |  |  |  |  |  |
|     |  | international application as filed has been furnished.   |  |  |  |  |  |
|     |  | The statement that the information recorded in computer readable form is identical to the written sequence listing   |  |  |  |  |  |
|     |  | has been furnished.  |  |  |  |  |  |
| 4.  |  | The amendments have resulted in the cancellation of:   |  |  |  |  |  |
|     |  | the description, pages NONE  |  |  |  |  |  |
|     |  | the claims, Nos. NONE  |  |  |  |  |  |
|     |  | the drawings, sheets/ <del>fig</del> NONE  |  |  |  |  |  |
| 5.  |  | This report has been established as if (some of) the amendments had not been made, since they have been considered to go   |  |  |  |  |  |
|     |  | beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**   |  |  |  |  |  |
| thi | * Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).  ** Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report. |  |  |  |  |  |  |
|     |  |  |  |  |  |  |  |



International application No. PCT/US02/31097

| V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement   |                            |   |           |  |  |  |
|---|----------------------------|---|-----------|--|--|--|
| 1. STATEMENT  |                            |   |           |  |  |  |
| Novelty (N)   | Claims                     | 1-10  | YES       |  |  |  |
| • • •   |                            | NONE  | NO        |  |  |  |
|   |                            |   |           |  |  |  |
| Inventive Step (IS)   | Claims                     | 1-10  | YES       |  |  |  |
|   | Claims                     | NONE  | NO        |  |  |  |
| Todayada Assatisativa (TAX  | O1-1                       |   | ×m0       |  |  |  |
| Industrial Applicability (IA)   | Claims                     | NONE  | YES<br>NO |  |  |  |
|   | Cianns                     | NONE  | NO        |  |  |  |
| Claims 1-10 meet the criteria set out in PCT Article 3 of the lens housing pivotably attached to a frame elem cover.  Claims 1-10 meet the criteria set out in PCT Article 3 can be made or used in industry. For example, claim  NEW CITATIONS | 33(4), and thus 1-10 may b | ounts in front of the lens of a camera integral with  s meet industrial applicability because the subject | shade and |  |  |  |
|   |                            |   |           |  |  |  |
|   |                            |   |           |  |  |  |
|   |                            |   |           |  |  |  |
|   |                            |   |           |  |  |  |
|   |                            |   |           |  |  |  |

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